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Substitute for form 1449A/B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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**Complete if Known**

|                        |                            |
|------------------------|----------------------------|
| Application Number     | 10/635818                  |
| Filing Date            | August 5, 2003             |
| First Named Inventor   | Harrington, John J. et al. |
| Art Unit               | 1645                       |
| Examiner Name          | Not Yet Assigned           |
| Attorney Docket Number | ATX-007CP4DV13CN           |

**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or Relevant<br>Figures Appear |
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|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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|                    | A2                    | 5,272,071                                | 12-21-1993                     | Chappel  |   |
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| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
| SN                 | A23                   | WO 90/14092   | 11-29-1990                     | Cell Genesys, Inc.                                 |   |                |
|                    | A24                   | WO 91/01140   | 02-07-1991                     | Cell Genesys, Inc.                                 |   |                |
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|                    | A28                   | WO 93/09222   | 05-13-1993                     | Transkaryotic Therapies, Inc.                      |   |                |
| SN                 | A29                   | WO 94/12650   | 06-09-1994                     | Transkaryotic Therapies, Inc.                      |   |                |
|                    | A30                   | AU 71893/94   | 06-30-1994                     | Odile Cohen-Haguenauer                             |   |                |

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| gr                       | B2                    | WO 95/31560             | 11-23-1995                     | Transkaryotic Therapies, Inc.                      |   |
|                          | B3                    | WO 96/04391             | 02-15-1996                     | Genentech, Inc.                                    |   |
|                          | B4                    | WO 96/29411             | 09-26-1996                     | Transkaryotic Therapies, Inc.                      |   |
|                          | B5                    | EP 0 742 285 A1         | 11-13-1996                     | Rijksuniversiteit Leiden                           |   |
|                          | B6                    | WO 98/12337             | 03-26-1998                     | Chiron Corporation                                 |   |
|                          | B7                    | WO 98/14614 A1          | 04-09-1998                     | Lexicon Genetics Incorporated                      |   |
|                          | B8                    | WO 99/07389 A1          | 02-18-1999                     | Lexicon Genetics Incorporated                      |   |
|                          | B9                    | WO 99/15650 A1          | 04-01-1999                     | Athersys, Inc.                                     |   |
| gr                       | B10                   | WO 99/50426             | 10-07-1999                     | Lexicon Genetics Incorporated                      |   |

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| Examiner Signature | <i>John Harrington</i> | Date Considered | 1-23-08 |
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|                                 |   |    |   | Examiner Name          | Not Yet Assigned           |
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| Application Number   | 10/635818                  |
| Filing Date          | August 5, 2003             |
| First Named Inventor | Harrington, John J. et al. |
| Art Unit             | 1645                       |
| Examiner Name        | Not Yet Assigned           |

Attorney Docket Number ATX-007CP4DV13CN

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|-------------------|-----------------------|---|----------------|
| SN                | F1                    | Robinson, W.S., "Molecular Events in the Pathogenesis of Hepadnavirus-Associated Hepatocellular Carcinoma," <i>Annu. Rev. Med.</i> 45:297-323 (1994).   |                |
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|                   | F6                    | Shin, S., and Steffen, D.L., "Frequent activation of the lck gene by promoter insertion and aberrant splicing in murine leukemia virus-induced rat lymphomas," <i>Oncogene</i> 5:141-149 (1993).  |                |
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|                                 |   |    |   | Application Number     | 10/635818                  |
|                                 |   |    |   | Filing Date            | August 5, 2003             |
|                                 |   |    |   | First Named Inventor   | Harrington, John J. et al. |
|                                 |   |    |   | Art Unit               | 1645                       |
|                                 |   |    |   | Examiner Name          | Not Yet Assigned           |
| Sheet                           | 7 | of | 7 | Attorney Docket Number | ATX-007CP4DV13CN           |

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| JK                              | G1                    | Voss, A.K., et al., "Efficiency Assessment of the Gene Trap Approach," <i>Develop. Dynamics</i> 212:171-180 (June 1998).  |                |
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|                                 | G3                    | Xiong, J.-W., et al., "Large-Scale Screening for Developmental Genes in Embryonic Stem Cells and Embryoid Bodies Using Retroviral Entrapment Vectors," <i>Develop. Dynamics</i> 212:131-197 (June 1998).  |                |
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|                                 | G5                    | Zhou, H., and Duesberg, P.H., "A retroviral promoter is sufficient to convert proto-src to a transforming gene that is distinct from the src gene of Rous sarcoma virus," <i>Proc. Natl. Acad. Sci. USA</i> 87:9128-9132 (1990).                                |                |
|                                 | G6                    | Dialog File 351, Derwent WPI English language abstract for FR 2 707 091.  |                |
|                                 | G7                    | Drug Discovery News, "Lexicon Genetics, Inc.," <i>Genetic Engin. News</i> 19:35 (March 1999).   |                |
| JK                              | G8                    | PCT International Search Report as provided by the International Searching Authority.   |                |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | Application Number     | 10/635818                      |
|  |   |    |   | Filing Date            | August 5, 2003                 |
|  |   |    |   | First Named Inventor   | Harrington, J.J.               |
|  |   |    |   | Art Unit               | 1645-1632                      |
|  |   |    |   | Examiner Name          | Not Yet Assigned Shih-Lin Chen |
| Sheet  | 1 | of | 2 | Attorney Docket Number | ATX-007CP4DV13CN               |

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|  |   |    |   | Filing Date              | August 5, 2003                |
|  |   |    |   | First Named Inventor     | Harrington, J.J.              |
|  |   |    |   | Art Unit                 | 1645 1632                     |
|  |   |    |   | Examiner Name            | Net Yet Assigned Shirlie Chen |
| Sheet  | 2 | of | 2 | Attorney Docket Number   | ATX-007CP4DV13CN              |

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|  |   |    |   | Filing Date              | August 5, 2003                 |
|  |   |    |   | First Named Inventor     | Harrington, J.J.               |
|  |   |    |   | Art Unit                 | 1645-1b32                      |
|  |   |    |   | Examiner Name            | Not Yet Assigned Shiu-Lin Chen |
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